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OFFICE OF PETITIONS

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Hiroyuki Nitta et al.

Serial No.: 09/883,210

Filed: June 19, 2001

Group Art Unit: 2815

Examiner: J. Nguyen

Atty. Dkt. No. 01701.00086

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MAY 17 2004

OFFICIAL

For: Semiconductor Device Having A Wiring Layer Of Damascene Structure And Method  
For Manufacturing The Same

Inquiry Under 37 CFR 1.137 b

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attn: Office of Petitions

Sir:

The undersigned certifies that this paper of 13 pages (1 page  
+ 12 page attachment) is being faxed to 703 872 9306.

*Christopher R. Glembocki*  
Christopher R. Glembocki  
Reg. No. 38,800

Applicants submitted the attached Request to Withdraw the Holding of Abandonment and Petition to Revive Unintentional. Applicants received a decision (attached) on the Request to Withdraw the Holding of Abandonment. However, Applicants have not received a response on the Petition to Revive Unintentional.

Applicants have been charged the fee for the Petition to Revive (\$1300) on February 3, 2003, but have not received the decision.

Please inform the undersigned when a decision on the Petition will be mailed.

Please charge any fee associated with the filing of this paper to our Deposit Account No. 19-0733.

Respectfully submitted,

By:

*Christopher R. Glembocki*  
Christopher R. Glembocki  
Registration No. 38,800

BANNER & WITCOFF, LTD.  
1001 G Street, N.W., 11<sup>th</sup> Floor  
Washington, D.C. 20001  
(202) 824-3000

Dated: May 17, 2004

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JUN 16 2004

TECHNOLOGY CENTER 2800  
SPECIAL PROGRAM CENTER



App. for use through 01/31/2003, OMB 0651-0032  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1300

## Complete if Known

Application Number 09/883,210  
Filing Date June 19, 2001  
First Named Inventor Hiroyuki Nitta et al.  
Examiner Name Joseph Nguyen  
Art Unit 2815  
Attorney Docket No. 001701.00086

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## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None  
Order

☐ Deposit Account:

Deposit  
Account  
Number

19-0733

Deposit  
Account  
Name

Banner & Witcoff, Ltd.

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments  
☐ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee  
to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

(\$ 0)

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	0	0	0
Multiple Dependent	0	0	0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 0)

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for expedited reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	1300
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 1300)

## SUBMITTED BY

Name (Print/Type)	Christopher R. Glembocki	Registration No. Attorney/Agent	38,800	Telephone	202 824 3000
Signature	<i>Christopher R. Glembocki</i>			Date	January 30, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form.

Provide credit card information and authorization on PTO-2035.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231

JMP/CRG



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Paper No. 9

BANNER & WITCOFF  
1001 G. Street N.W.  
Suite 1100  
Washington, DC 20001

JUL 7 2003

BANNER & WITCOFF  
*Noted*

OFFICE OF PETITIONS

JUL -2 2003

In re Application of  
Hiroyuki Nitta et al.  
Application No. 09/883,210  
Filed: June 19, 2001  
Attorney Docket No. 01701.00086

## DECISION ON PETITION

This is a decision on the petition filed January 30, 2003, requesting that the holding of abandonment in the above-identified application be withdrawn, or in the alternative, to revive the application under 37 C.F.R. 1.137(b)<sup>1</sup>.

The petition to withdraw the holding of abandonment is DENIED.

A restriction requirement and an election of species requirement were mailed February 7, 2002, setting a one-month shortened statutory period for filing a response. On March 5, 2002, applicant responded to the restriction requirement, but failed to elect a species for examination purposes. The March 5, 2002 response was held non-responsive and another Office action was mailed March 22, 2002, setting a one month shorten statutory period for filing a response. In the absence of a response, the application was held abandoned and a Notice of Abandonment was mailed January 17, 2003.

Petitioner asserts that a response was timely filed by facsimile transmission on April 19, 2002 together with a preliminary amendment. To support the assertion, petitioner submitted 1) a copy of a response entitled "Response to Election Requirement" which bears a Certificate of Transmission under 37 C.F.R. § 1.8(a) dated April 19, 2002, 2) a

<sup>1</sup>This decision addresses the petition to withdraw the holding of abandonment only. A decision on the petition under 37 C.F.R. § 1.137(b) will be addressed by the Deputy Commissioner for Patent Examination Policy.

Application No. 09/883,210  
On Petition

2

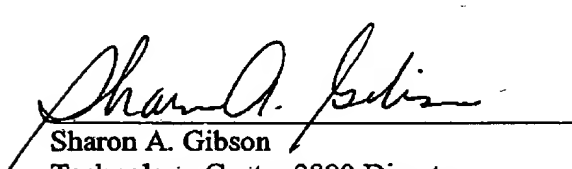
copy of a paper entitled "Amendment" which bears a Certificate of Transmission under 37 C.F.R. § 1.8(a) dated April 19, 2002, 3) a copy of the sending unit's report confirming successful transmission of the Amendment on April 19, 2002.

The file record does not include the originally submitted papers.

A review of the record does not show evidence that the election was in fact sent by way of facsimile transmission with a report of successful transmission thereof. The copy of the sending unit's report confirming successful transmission of the Amendment on April 19, 2002 does not support timely facsimile transmission of the "Response to Election Requirement." Further, the sending unit's report shows transmission of three pages, not enough pages to support sending of the election also.

The petition does not satisfy the conditions for accepting a response filed using a certificate of transmission under 37 C.F.R. § 1.8(a) but lost after being received by the USPTO. Accordingly, the petition is denied.

The application filed is being forwarded to the Deputy Commissioner for Patent Examination Policy, Office of Petitions, for consideration of the Petition to Revive Unintentional.



Sharon A. Gibson  
Technology Center 2800 Director  
Semiconductors, Electrical and Optical  
Systems and Components